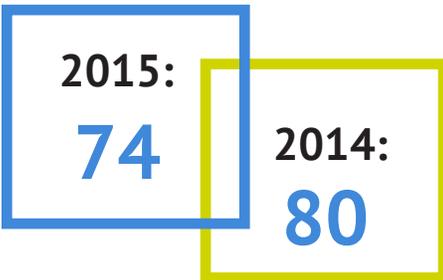


CLOSED INVESTIGATIONS

A summary of closed enforcement cases from calendar years 2014-15 by source of complaint, allegation/issue and resolution follows.

NUMBER OF CLOSED CASES



Source of complaints

The following table displays the source of complaints received and the number of cases investigated and closed from 2014-15.

	VBOA internal	Public (named or anonymous)	Interagency (federal, state, local or international agency/jurisdiction, non-governmental professional organization)
2014	45	31	4
2015	40	19	15

CLOSED INVESTIGATIONS

Allegations and issues

The following table displays the allegations and issues received for the number of cases closed from 2014-15.

	Unlicensed activity	Discreditable act*	CPE deficiency	Eligibility	Peer Review	Other**	Totals:
2014	15 cases	30 cases	7 cases	14 cases	14 cases	n/a	80 cases
2015	20 cases	19 cases	13 cases	9 cases	11 cases	2 cases	74 cases

*Examples include lack of due professional care, tax-related matters, refusing to return client-provided records, and other discreditable acts.

**Examples include notices received pursuant to 28 USC § 1715.

Case resolutions

The following table displays the case resolutions from 2014-15 closed cases.

	Closed by Board Order or Consent Order*					Closed by other means			Totals:
	Revocation	Suspension	Monetary penalty	Reprimand	Other**	No violation found	Withdrawal of complaint	Other***	
2014	2 cases	4 cases	16 cases	2 cases	9 cases	16 cases	3 cases	28 cases	80 cases
2015	2 cases	3 cases	7 cases	2 cases	7 cases	23 cases	3 cases	27 cases	74 cases

*Many Board Orders and Consent Orders contain multiple penalties. In cases with more than one penalty, the resolution is classified by the most severe penalty. Penalties listed above range from most severe to least severe.

**Examples include additional CPE, written/oral essay, appearance before the Board and reinstatements.

***Examples include voluntary surrender of a license, exam eligibility approved by the Enforcement Committee and those judged outside the Board's jurisdiction.